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Special Terms and Conditions

STC 1. CERTIFICATE OF COMPLIANCE OR CONFORMANCE – Provide a Certificate of Compliance/Conformance with each shipment, indicating conformance to requirements.

STC 1a. CERTIFICATE OF CALIBRATION – Provide a Certificate of Calibration for each calibration inspection performed to include:

- 1) Condition – Ex: As Found, Out of Tolerance, etc.
- 2) Traceable to NIST

STC 1b. COUNTERFEIT PREVENTION – To prevent and mitigate the use of counterfeit parts/materials, supplier, and all members of their supply chain, including distributors, shall comply with the requirements of AS5553 for electronic components and AS6174 for non-electronic product. The use of parts/material with broken traceability or sourced from a non-authorized supplier is prohibited.

Parts/Materials shall only be of new condition (not reclaimed or misrepresented) and have a certification on file from the Original Component Manufacturer, Original Equipment Manufacturer (OCM/OEM), mills, foundries, mines, chemical plants, laboratories, etc. These certifications shall be provided with the shipment upon request.


STC 1c. NON-CONFORMANCE NOTIFICATION – Notify Lucas Industries of non-conforming processes, products, or services and obtain approval for their disposition.

STC 2. MATERIAL CERTIFICATION – Provide a Certificate of Analysis or Manufacturer Certification for each item showing the chemical and/or physical ranges and/or the applicable specification.

STC 2a. SHELF-LIFE INFORMATION – Provide shelf-life range, best by or expiration date for storage and use of each item as applicable.

STC 2b. DPAS RATING – This is a DPAS Rated order, certified for National Defense Use. you are required to follow all the provisions of the Defense Priorities and Allocation System regulation (15 CFR 700.) All DPAS Rated orders must be accepted or rejected as follows:

- 1) Acceptance or rejection of DPAS Rated orders must be in writing (hardcopy), or electronic format, within fifteen (15) working days after receipt of DO rated orders and within ten (10) working days after receipt of DX Rated orders. Rejection of DO or DX Rated orders must be in writing (hardcopy), or electronic format, giving the specific reason for the rejection.
- 2) If, after acceptance of this order, seller subsequently finds that shipment or performance will be delayed, seller must notify the buyer immediately in writing (hardcopy), or electronic format, give reasons for the delay, and advise of a new shipment or performance date.

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If both DPAS Rated and Unrated PO quantities are reflected in this order, you are only required to follow the DPAS regulation as it pertains to the DPAS Rated quantities.

STC 2c. SPECIALTY METALS – Pursuant to DFARS 252.225-7009, specialty metals incorporated in articles delivered in the performance of this contract must be: (1) melted in the United States; (2) melted in a “qualifying country” as defined in DFARS 225.003; or, (3) incorporated in an article manufactured in a “qualifying country”.

STC 3. INSPECTION REPORT – Provide an Inspection report with each shipment, detailing each characteristic inspected and the actual inspection results.

STC 4a. SPECIAL PROCESSES (Form Required)– Lucas Industries approval of Supplier Procedures is required prior to commencement of PO. Approval is based on successfully completing the attached questionnaire.

STC 4b. SPECIAL PROCESSES (Site Visit Required) – Lucas Industries approval of Supplier Procedures is required prior to commencement of PO. Approval is based a successfully completed site evaluation by Lucas Industries.

STC 5a. ACCEPTANCE – Inspection and verification shall be at destination. Any prior inspection shall not relieve Supplier of its obligation to furnish all work in accordance with requirements of this PO.


STC5b. ACCEPTANCE – Final Inspection and acceptance is at Lucas Industries. Supplier is responsible for the quality of work or items supplied. Verification to Purchase Order requirements is performed at Lucas Receiving Inspection.

STC 6. FIRST ARTICLE INSPECTION (FAI) – A first article inspection report is required by the supplier’s facility. If, after the 1st article has been approved by Lucas, the supplier changes the method of production, Lucas Industries shall be notified of these changes to determine if a new first article inspection is required.

STC 7a. CONTROL OF PERSONNEL QUALIFICATION (certification) – Personnel qualification is required by submitting a current certification to Lucas Industries prior to commencement of PO.

STC 7b. CONTROL OF PERSONNEL QUALIFICATION (evidence) – Personnel qualification is required by submitting evidence of first tier approval to Lucas Industries prior to commencement of PO.

STC 7c. CONTROL OF PERSONNEL QUALIFICATION (test report/sample) – Personnel qualification is required by submitting a completed test report and test sample to Lucas Industries prior to commencement of PO.

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STC 7d. CONTROL OF PERSONNEL QUALIFICATION (awareness) – Personnel are required to be aware of their contribution to product or service conformity, to product safety and the importance of ethical behavior.

STC 8a. QUALITY MANAGEMENT (ISO) - Supplier certification/compliance to quality management standard is required. Supplier must submit evidence of current revision ISO9001 compliance to Lucas Industries prior to commencement of PO.

STC 8b. QUALITY MANAGEMENT (AS9100) Supplier certification/compliance to quality management standard is required. Supplier must submit evidence of current revision AS9100 compliance to Lucas Industries prior to commencement of PO.

STC 9. DELAGATION OF DESIGN – Design shall be performed per Lucas Industries instructions. Supplier shall submit the completed design to Lucas Industries for approval prior to commencement of fabrication of the item.

STC 10. LUCAS INDUSTRIES SOURCE INSPECTION Source Inspection is required by Lucas Industries prior to shipment.

STC 11. GOVERNMENT SOURCE INSPECTION – Government Source Inspection is required prior to shipment.


STC 12. CUSTOMER SOURCE INSPECTION – Customer Source Inspection is required prior to shipment.

STC 13. TEST REPORT – Provide a Test Report with each shipment detailing the tests performed and the actual test results.

STC 14. NON-CONFORMING PRODUCT – Supplier shall maintain control of nonconforming material. Supplier must notify Lucas Industries of any nonconforming material. Material must not be shipped to Lucas Industries until written Lucas Industries approval is obtained.

STC 15. CHANGES TO PRODUCT OR PROCESS – Supplier must notify Lucas Industries of any changes to the product, process, or facility location. Lucas Industries written approval of such changes is required. Products must continue to conform to existing specifications.

STC 16. QUALITY SYSTEM – Notify Buyer immediately should a change occur in your quality system (loss of Registration, Certification, or Qualification.)

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STC 17. RIGHT OF ACCESS - Lucas Industries (including Lucas Industries customer and regulatory authority) has right of access to Supplier and sub-tier facilities and all applicable records.

STC 18a. FLOWDOWN REQUIREMENTS - The supplier must flow down to sub-tier suppliers all applicable PO requirements. Supplier is responsible for assuring that materials received from sub-tier sources are conforming.

STC 18b. DOCUMENT RETENTION - Supplier must retain all associated documents pertaining to applicable PO's for the length of time noted on the purchase agreement.


STC 19. EXPORT CONTROL - Technical information or data, whether classified or otherwise, shall not be disclosed by Supplier to any person or entity in violation of the Export Administration Regulations (EAR) of the United States Department of Commerce, 15 C.F.R. Subtitle B, Chapter 7, Subchapter C; the International Traffic in Arms Regulations (ITAR) of the United States Department of State, 22 C.F.R. Chapter 1, Subchapter M; OFAC Sanctions of the Department of Treasury, 31 C.F.R. Subtitle B, Chapter 5; or any other applicable laws or regulations of the United States ("Export Regulations"). Supplier shall require each person to whom they wish to disclose EAR-controlled and/or ITAR-controlled information to certify agreement to EAR and/or ITAR non-disclosure requirements. Supplier will provide verification of the individual certifications upon request from Lucas Industries.

STC 20. SUBCONTRACT - This order cannot be assigned, transferred or subcontracted without express written consent of Lucas Industries.

STC 21. CUSTOMER APPROVED SPECIAL PROCESS -Customer approval special process sources are required. See P. O. for reference.

STC 22. CYBER SECURITY COMPLIANCE Supplier must comply with all specified provisions below, as applicable. Supplier shall provide adequate security on all covered systems in accordance with the provisions of these clauses. Lucas shall be notified immediately if the Supplier is not in compliance with any aspect of, or wishes to deviate from, these provisions. Supplier shall indemnify and hold Lucas harmless for any damages or expenses resulting from Supplier or sub-tier suppliers' failure to comply with specified clauses and/or requirements. Supplier further indemnifies and holds Lucas harmless from any damages arising from false or fraudulent certifications here-in.

STC 22a. DFARS 252.204-7021 Cybersecurity Maturity Model Certification (CMMC) Requirements. (Not applicable to Commercially available Off the Shelf Items (COTS)) Supplier shall hold a current (no older than 3 years) CMMC certificate. If a specific certification level is required, it will be listed on this purchase order. Certification must be maintained for the duration of the purchase order term.

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STC 22b. FARS 252.204-21 Basic Safeguarding of Covered Contractor Information Systems Supplier must meet, at a minimum, the controls listed in Section B (1) of this clause.

STC 22c. DFARS 252.204-7008 Compliance with Safeguarding Covered Defense Information Controls Supplier shall indicate, at time of purchase order acceptance, if they are currently meeting all security requirements covered in the National Institute of Standards and Technology (NIST) special Publication (SP) 800-171.

STC 22d. DFARS 252.204-7009 Limitations on the Use or Disclosure of Third-Party Contractor Cyber Incident Information Applicable if purchase order is for services that include support for the Government’s activities related to safeguarding covered defense information and cyber incident reporting, including subcontracts for commercial items. Supplier will abide by the restrictions noted in Section B for reporting cyber incidents.

STC 22e. DFARS 252.204-7012 Safeguarding Covered Defense Information and Cyber Incident Reporting Supplier will report a cyber incident as outlined and must provide Lucas the incident number assigned upon receipt from the Department of Defense

STC 22f. DFARS 252.204.7019 Notice of NIST SP 800-171 DoD Assessment (Not applicable to Commercially available Off the Shelf Items (COTS)) Supplier must have reported a basic self-assessment to the DOD via the Supplier Performance Risk System (SPRS)

STC 22g. DFARS 252.204-7020 NIST SP 800-171 SP 800-171 DoD Assessment Requirements (Not applicable to Commercially available Off the Shelf Items (COTS)) Supplier to maintain a System Security Plan along with a Plan of Action and Milestones (POAMS), based on a submitted DOD security compliance assessment.

STC 22h. DFARS 252.239-7010 Cloud Computing Services Applicable to Supplier, if cloud computing will be used in the performance of this purchase order.

STC 22i. NIST SP 800-171 Supplier’s information system shall be subject to the security requirements set forth in the National Institute of Standards and Technology (NIST) special Publication (SP) 800-171; Protecting Controlled Unclassified Information (CUI) in nonfederal systems and organizations in effect, at the time the purchase order is issued, unless otherwise specified within the body of the order.